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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	075834.00449
First Inventor	Shuzo Sato
Title	POLISHING METHOD AND POLISHING APPARATUS
Express Mail Label No.	EL770615827US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages 69]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total Sheets 18]
5. Oath or Declaration [Total Sheets 3]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:
 Mail Stop Patent Application
 Commissioner for Patents
 P.O. Box 1450
 Alexandria VA 22313-1450

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. Paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement Power of (when there is an assignee) Attorney
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP) of prior application No. 09/963,966

Prior application information:

Examiner Binh X. Tran

Art Unit: 1765

For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS
 Customer Number: 33448 OR Correspondence address below

Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type)	Robert J. Depke	Registration No. (Attorney/Agent)	37,607
Signature	10/29/03		

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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 22141 US PTO
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 1814

102903

16805 U.S.P.T.O.
102903

EL770615827US

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 824.00)

Complete if Known

Application Number	Unassigned
Filing Date	October 29, 2003
First Named Inventor	Shuzo Sato
Examiner Name	Unassigned
Art Unit	Unassigned
Attorney Docket No.	075834.00449

METHOD OF PAYMENT (check all that apply)

 Check Credit card Money Order Other None
 Deposit Account:

Deposit Account Number	50-1794
Deposit Account Name	Holland & Knight LLP

The Director is authorized to: (check all that apply)

 Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) or any underpayment of fee(s)
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	770.00
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$ 770.00)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims	Fee from below	Fee Paid
Total Claims	23	-20** = 3	18.00	54.00
Independent Claims	2	- 3** = 0	0.00	0.00
Multiple Dependent			0.00	0.00

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 54.00)

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code (\$)	Fee (\$)	Fee Description	Fee Paid
1051 130	2051 65	2051 65	65	Surcharge - late filing fee or oath	
1052 50	2052 25	2052 25	25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	1053 130	130	Non-English specification	
1812 2,520	1812 2,520	1812 2,520	2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	1804 920*	920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	1805 1,840*	1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	2251 55	55	Extension for reply within first month	
1252 420	2252 210	2252 210	210	Extension for reply within second month	
1253 950	2253 475	2253 475	475	Extension for reply within third month	
1254 1,480	2254 740	2254 740	740	Extension for reply within fourth month	
1255 2,010	2255 1,005	2255 1,005	1,005	Extension for reply within fifth month	
1401 330	2401 165	2401 165	165	Notice of Appeal	
1402 330	2402 165	2402 165	165	Filing a brief in support of an appeal	
1403 290	2403 145	2403 145	145	Request for oral hearing	
1451 1,510	1451 1,510	1451 1,510	1,510	Petition to institute a public use proceeding	
1452 110	2452 55	2452 55	55	Petition to revive - unavoidable	
1453 1,330	2453 665	2453 665	665	Petition to revive - unintentional	
1501 1,330	2501 665	2501 665	665	Utility issue fee (or reissue)	
1502 480	2502 240	2502 240	240	Design issue fee	
1503 640	2503 320	2503 320	320	Plant issue fee	
1460 130	1460 130	1460 130	130	Petitions to the Commissioner	
1807 50	1807 50	1807 50	50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	1806 180	180	Submission of Information Disclosure Stmt	
8021 40	8021 40	8021 40	40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	2809 385	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	2810 385	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	2801 385	385	Request for Continued Examination (RCE)	
1802 900	1802 900	1802 900	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0.00)

(Complete if applicable)

Name (Print/Type)	Robert J. Depke	Registration No. (Attorney/Agent)	37,607	Telephone	312-422-9050
Signature				Date	10/29/03

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October 29, 2003

"Express Mail" Mailing Label Number **EL770615827US**

Date of Deposit: October 29, 2003

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to: Mail Stop Patent Application, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Patent Application entitled: **POLISHING METHOD AND POLISHING APPARATUS**

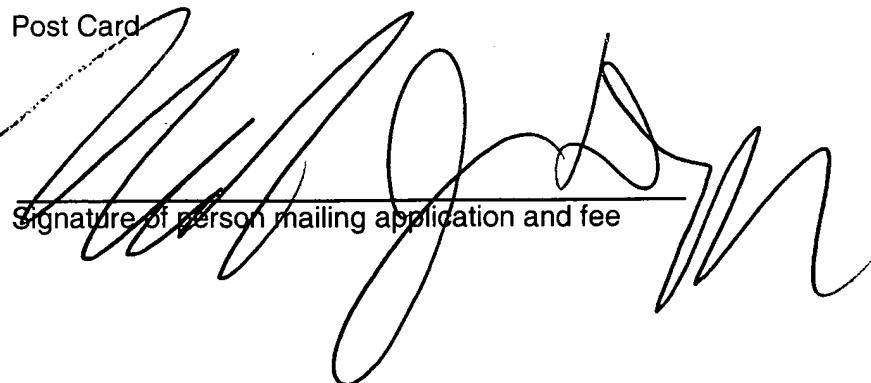
Inventor(s): Shuzo Sato et al.

Our Case No. 075834.00449

Fee Transmittal, Utility Patent Transmittal, Preliminary Amendment,
Copy of Revocation and Substitute Power of Attorney,
Specification (69 pages), Drawings (18 pages, Figs. 1A-15), Copy
of Declaration and Power of Attorney from original application,
Copy of Recorded Assignment from original application,

Government filing fee \$824

Post Card
Signature of person mailing application and fee



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